

Important

Commercial Surety Application

Court Bonds

Instruction Sheet

We have created an instruction sheet to assist you in completing this application. Please refer to this sheet before sending the Commercial Surety Application back to The Hartford. It will help avoid any delays in processing your application due to missing information.

1. Please complete page 1 in its entirety. (Some commonly overlooked items include producer code, year established, date of bond, and obligee information)
2. The final page is the *Indemnity Agreement*. A checklist of 4 questions will help remind you of the key items that need to be addressed:
 - **Did you date the form (directly above the signatures)?**
 - **If the applicant is a Corporation, Partnership or LLC, is the respective name entered with the corresponding signature of the President (or authorized officer) immediately below? (Seals should be used, as appropriate)**
 - **If the applicant is an individual, did he/she sign the form and provide his/her Social Security Number?**
 - **Did a witness sign the form attesting to the authenticity of the Indemnitors' signature?**

Fax: (916)404-6748

Surety Solutions Insurance Services, Inc
49 Natoma Street, Suite
Folsom, CA 95630

(877)654-2327
(916)294-0044

Court Bonds

Commercial Surety Application

- Fiduciary (Probate)** – Complete Section 2
- Receiver or Bankruptcy Trustee** – Complete Section 3
- Court: Judicial** – Complete Section 4

Application must be SIGNED, WITNESSED and DATED.

General Information Questions

Type of Bond (describe purpose) _____
(Attach a copy of the bond form, if available)

Agency Name: Surety Solutions Insurance Services, Inc.
RO/Agency Code: _____ **Sub Producer Code:** _____ **Bond Number:** _____
Agency City: Folsom **Agency State:** California

Bond Amount: \$ _____ **Effective Date of Bond:** _____ **Bond Term, if known:** _____
of years

Applicant is: (select one) Individual Partnership C-Corp S-Corp LLC _____

Applicant (Principal): _____
Name to appear on Bond, if different from Applicant: _____

Applicant's Address: _____
Applicant's Business Description or Latest Occupation: _____
Number of Years in Business: _____
SS#: _____ - _____ - _____ Fed Tax ID: _____ U.S. Citizen? No Yes

Obligee – party requiring the bond (required): _____
Obligee Address: _____

Billing Method: Agency Bill

Billing Address, if different from Applicant's Address: _____

1 General Underwriting Questions

(required for all Applicants)

Does the Applicant have any other Surety bonds in force? No Yes

Has another Surety company declined to write this or any previous bond? No Yes

Have you ever had a bond involuntarily terminated or cancelled? No Yes

Has there ever been a claim or legal action against any bond executed on your behalf? No Yes

Do **you** or any of **your** companies have any pending lawsuits, unsatisfied judgments or liens? No Yes

Have **you** or any of **your** companies declared bankruptcy or become insolvent? No Yes

Have **you** or any of **your** companies been the subject of any legal or administrative proceedings resulting in disciplinary action? No Yes

Have **you** ever been convicted of a felony? No Yes

(If you answered Yes to any of the above questions, please attach a detailed explanation.)

2	Fiduciary Bonds			
Applicant's Age: _____ Applicant's Net Worth: _____				
How long have you been with your current employer? _____ Active or retired? _____				
Date of your appointment: _____ Name of Estate: _____				
What is your relationship (personal and/or financial) with the deceased/incompetent/minor/beneficiary? _____				
Are you indebted to the estate of the deceased/incompetent/minor/beneficiary? <input type="checkbox"/> No <input type="checkbox"/> Yes				
If Yes, in what amount and what are the terms of repayment: _____				
Attorney's name and address: _____				
Court jurisdiction (Obligee) in which bond will be filed: _____				
Is there an ongoing business? <input type="checkbox"/> No <input type="checkbox"/> Yes				
If Yes, provide details: _____				
Inventory of the Assets: Cash: Securities: Real Estate: Other:				
Name of Heirs/Beneficiaries	Age	Relationship to the deceased	Share of the Estate	Residence (state)

Attach a copy of the Will, Trust or Court Order for ALL bonds greater than or equal to \$100,000.

2a	Complete for Administrator, Executor, Personal Representatives, etc.
Date of Death: _____	
Is the estate insolvent? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Are there any disputes among the heirs? <input type="checkbox"/> No <input type="checkbox"/> Yes	

2b	Complete for Guardianship, Conservatorship, Trustee, etc.
This is in regard to a: <input type="checkbox"/> Minor <i>and/or</i> <input type="checkbox"/> Incompetent <input type="checkbox"/> Beneficiary Age: _____	
Where does minor/incompetent reside? _____	
Will any assets be under court restrictions? <input type="checkbox"/> No <input type="checkbox"/> Yes	
If Yes, provide details: _____	
Will joint control be used to restrict expenditures or distributions of assets? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Will professional accounting, investment or legal services be provided on an ongoing basis? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the presiding court require that an annual accounting be filed? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Is the estimated duration of the bond anticipated to be longer than 3 years? <input type="checkbox"/> No <input type="checkbox"/> Yes	

3	Receiver, Bankruptcy Trustee, Assignee Bonds
Debtor: _____	
Address: _____	
Type of Action: <input type="checkbox"/> Liquidation <input type="checkbox"/> Reorganization <input type="checkbox"/> Receiver of Rents <input type="checkbox"/> Other	
Do you carry Fidelity coverage? <input type="checkbox"/> No <input type="checkbox"/> Yes	
If Yes, in what amount? _____ Carrier: _____	
Do you carry Professional Liability or E & O coverage? <input type="checkbox"/> No <input type="checkbox"/> Yes	
If Yes, in what amount? _____ Carrier: _____	
Attach copy of Court Order, Judgment and/or other documents <input type="checkbox"/> Copies attached	

4	Court: Judicial Bonds
Judgment / Claim Amount: _____	
Type of Action: _____	
Case Number: _____ Court Jurisdiction: _____	
Attorney's name and address: _____	
Summary of the Action: _____	
Does the case involve a domestic dispute? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Attach a copy of Court Order, Judgment and/or other supporting documents. <input type="checkbox"/> Copies attached	

Indemnity Agreement

The undersigned Applicant and Indemnitor(s), (all hereinafter called the Indemnitor(s)) hereby certify that the foregoing declarations made and answers given are the truth without reservation, and are made for the purpose of inducing the Surety to execute a certain bond or undertaking herein applied for, and any renewal, procurement, assumption, continuation or increase of the same, or any bond of similar nature given in substitution or renewal thereof (all comprehended in the word "bond" or "undertaking" as herein used).


If Hartford Fire Insurance Company, Hartford Plaza, Hartford, CT 06115, itself or any of its affiliates, parent, subsidiaries, co-sureties, or re-insurers, (individually and collectively called "Hartford"), as Surety, shall execute or procure the execution of the bond or undertaking hereinbefore applied for, which bond and application are hereby referred to and made a part of this agreement, the undersigned, in consideration thereof, jointly and severally covenant and agree with Hartford as follows:

Indemnitor(s) shall pay the premiums and renewal premiums for each bond issued hereunder, until Hartford has received written legal evidence, satisfactory to Hartford, in its sole discretion, of its discharge from all such bonds and all liability related thereto.

Indemnitor(s) agree to indemnify Hartford and save it harmless from any and all loss and expense of whatsoever kind or nature, including, but not limited to interest, court costs, attorney fees, incurred by Hartford in connection with or by reason of furnishing any bond hereunder. The undersigned Indemnitor(s) hereby agree to deposit upon demand with Hartford an amount sufficient to discharge any claim or any such bond, which deposit may be held by Hartford as collateral security against any loss or cost on this bond.

Indemnitor(s) hereby expressly authorize Hartford to access its credit records and to make such pertinent inquiries as may be necessary from third party sources for the following purposes: (a) To verify information supplied to Hartford; (b) For underwriting purposes; and (c) Upon receipt of a notice of claim or potential claim, for debt collection. Hartford may furnish copies of any and all statements, agreements, and financial statements and any information, which it now has or may hereafter obtain concerning each of the Indemnitors, to other persons or companies for the purpose of procuring co-suretyship or reinsurance.

IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES AND DENIAL OF BENEFITS.

WITNESS the following signature(s) and seal(s) this _____ day of _____, 20____. 

If APPLICANT is an individual:

Witness: _____	Signature _____
(print above name here) _____	(print above name here) _____

Witness: _____	Signature _____
(print above name here) _____	(print above name here) _____

If APPLICANT is a partnership or corporation:

	Name of Firm/Corporation _____ (Seal)
Witness: _____	By (Signature) _____
(print above name here) _____	(print above name here) _____
	Title (print) _____

Third Party Indemnitors: (including personal indemnitors of S-Corporations)

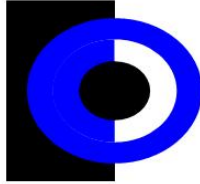
In consideration of the Surety executing the bond hereinabove applied for, we jointly and severally join in the foregoing indemnity agreement.

Witness: _____	Signature of Indemnitor _____ (Seal)
(print above name here) _____	SS or Fed ID # _____

Witness: _____	Signature of Indemnitor _____ (Seal)
(print above name here) _____	SS or Fed ID # _____

Witness: _____	Signature of Indemnitor _____ (Seal)
(print above name here) _____	SS or Fed ID # _____

Reminder – Please make sure the application has been SIGNED, WITNESSED and DATED in the appropriate areas.



Surety Solutions
Insurance Services
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Folsom, CA 95630

(916)294-0044 * (877)654-2327

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CONSENT TO PULL CONSUMER CREDIT REPORTS

The undersigned hereby expressly authorize Surety Solutions Insurance Services, Inc. and / or companies Surety Solutions Insurance Services, Inc. uses to obtain bonds, (collectively Surety Solutions) to access its credit records and to make such pertinent inquiries as may be necessary from third party sources for the following purposes:

1. To verify information supplied to Surety Solutions
2. For underwriting purposes; and
3. In the event Surety Solutions issues any surety bonds for or on behalf of, upon receipt of a notice of claim or potential claim, for debt collection.

Understood and Agreed to:

Name of applicant (print): _____

Signature of applicant: _____

Social Security Number: _____

Address: _____

City: _____ State: _____ Zip: _____

Name of co-applicant or spouse (print): _____

Signature of applicant: _____

Social Security Number: _____

Address: _____

City: _____ State: _____ Zip: _____

Name of co-applicant or spouse (print): _____

Signature of applicant: _____

Social Security Number: _____

Address: _____

City: _____ State: _____ Zip: _____